

# Learning Concepts Definable in First-Order Logic with Counting

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# Introduction — Classification Problems



Database

+

```
SELECT EXISTS (  
  SELECT * FROM 'data'  
  WHERE color='red' AND distance>5  
);
```

Query



**True/False**

Boolean

# Introduction — Classification Problems



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Relational structure

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$$\varphi = \exists x \exists y Exy$$

FO-formula



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# Introduction — Classification Problems



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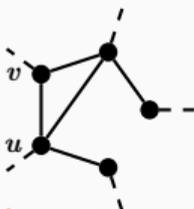
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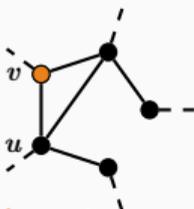
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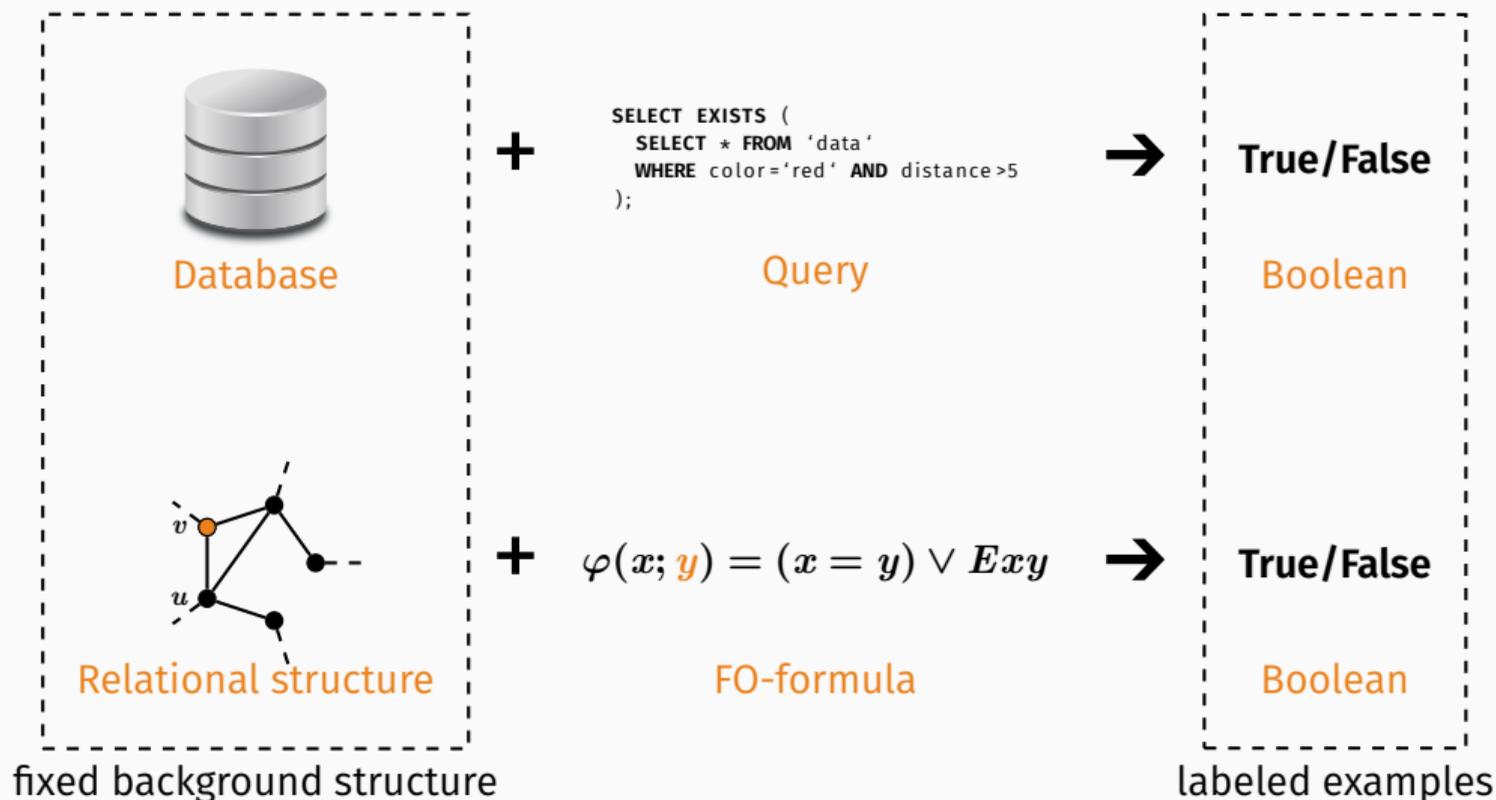
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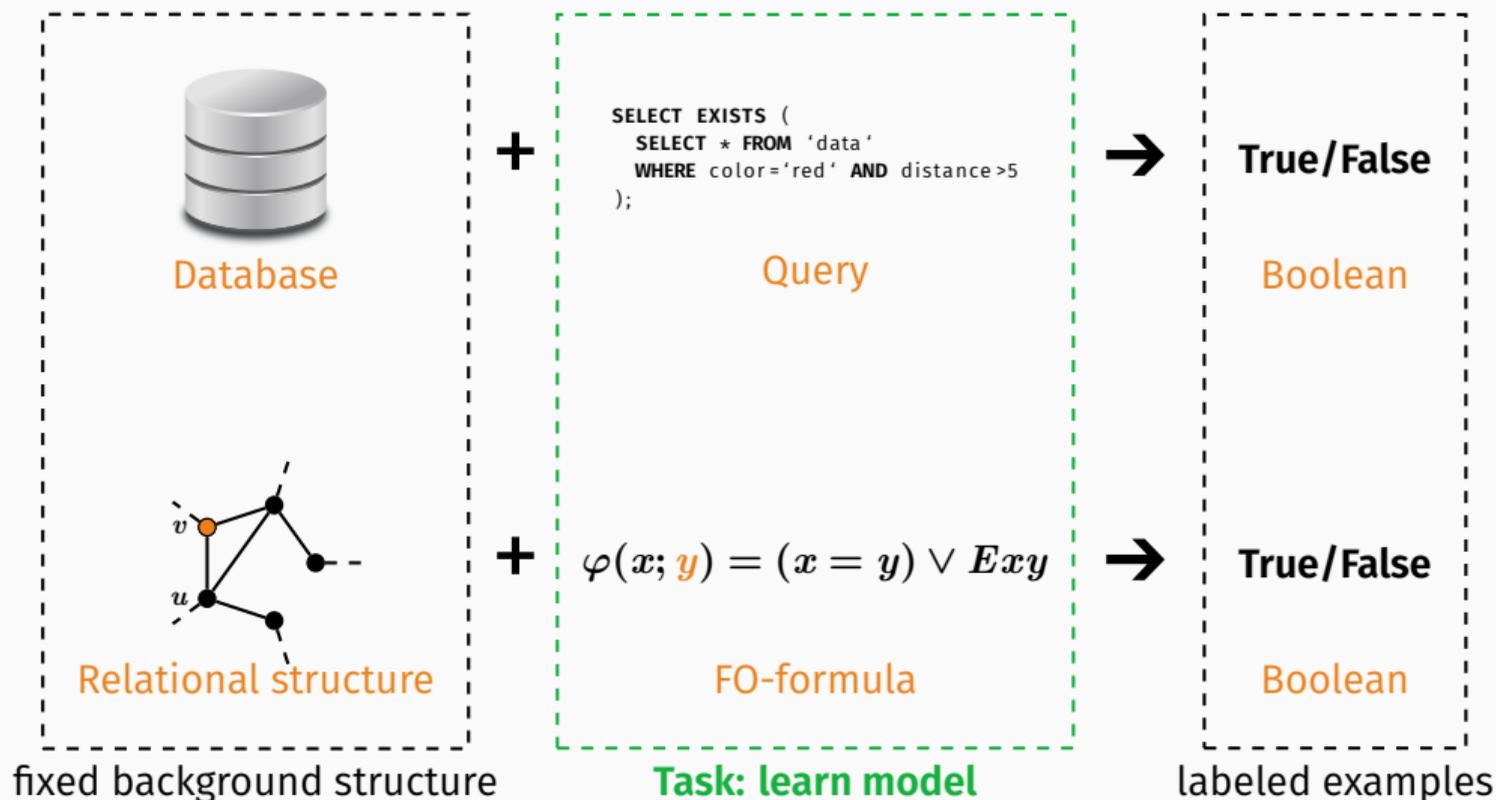
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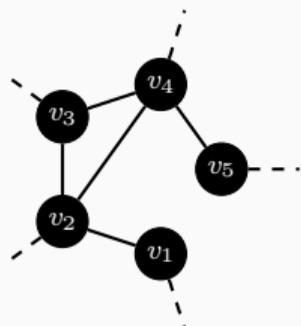
# Introduction — Classification Problems



# Introduction — Classification Problems



# Introduction — FO Learning

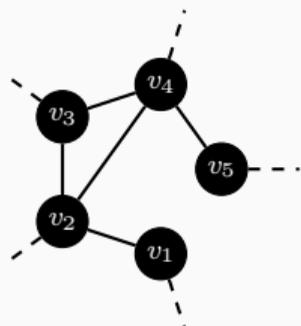


Background structure

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Examples

# Introduction — FO Learning



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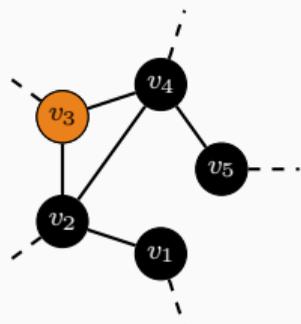
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Examples

**Task: learn a consistent model**

$$\varphi(x_1, x_2; y) = ?$$

# Introduction — FO Learning



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Examples

**Task: learn a consistent model**

$$\varphi(x_1, x_2; \mathbf{y}) = \exists x_3 (Ex_1 x_2 \wedge Ex_1 x_3 \wedge Ex_2 x_3) \vee (x_2 = \mathbf{y}), \quad \mathbf{y} = v_3$$

**Grohe and Ritzert (2017):**

**There is a consistent model-learning algorithm  
for FO-formulas**

that runs in sublinear time  
on background structures of polylog. degree.

**Idea:** Use brute-force, Gaifman normal forms and  
Gaifman locality.

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# Introduction — From SQL to FOCN(P)



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- We would like to learn something similar to SQL queries
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- Aggregate functions are missing:  
Count, Sum, Average, Min, Max
- Kuske and Schweikardt (2017):  
FOCN(P), adds counting to FO

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There is a consistent model-learning algorithm  
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There is a consistent model-learning algorithm  
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# Introduction to FOCN(P)

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# Introduction to FOCN(P)

**Counting terms:**  $\#\bar{y}.\varphi(\bar{y})$ ,  $i \in \mathbb{Z}$ ,  $(t_1 + t_2)$ ,  $(t_1 \cdot t_2)$ ,  $\kappa$

**FOCN(P)-formulas:** rules from FO,  $P(t_1, \dots, t_{\text{ar}(P)})$ ,  $\exists \kappa \varphi$

## Example ( $\kappa$ -regular graph)

$$\varphi_1 = \exists \kappa \forall x (\underbrace{\#\!(y).Exy}_{t_{\text{edges}}(x)} = \kappa)$$

## Example

$$\varphi_2(x, \kappa) = \underbrace{\#\!(y).Exy}_{t_{\text{edges}}(x)} + \#\!(y, z).(Exy \wedge Eyz) = \kappa + 4$$

# Learnability Results

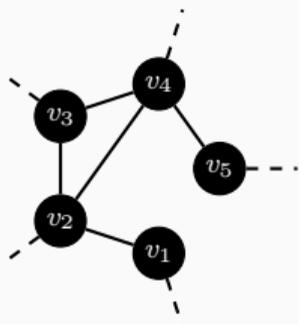
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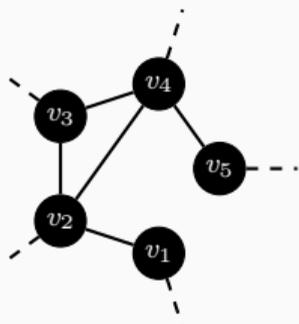
Background structure

$$k = 2, \ell = 1$$

Constants

<b>Fixed</b>	$\mathcal{B}$	relational background structure
	$k$	length of each tuple we should classify
	$\ell$	number of parameters we should learn

# Learnability Results



Background structure

$$k = 2, \ell = 1$$

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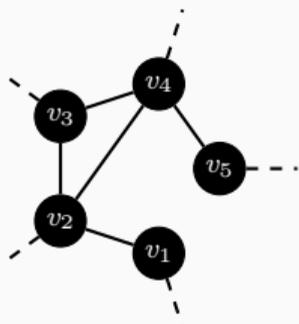
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Examples

Given

$\mathcal{T} = ((\bar{u}_1, c_1), \dots, (\bar{u}_t, c_t)), \quad u_i \in (U(\mathcal{B}))^k, \quad c_i \in \{\text{True}, \text{False}\}$   
training sequence of length  $t$  with tuples of length  $k$

# Learnability Results



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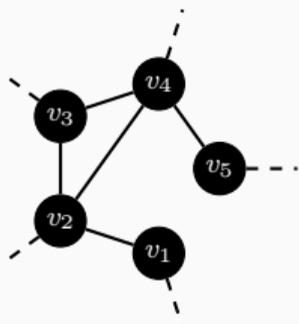
Examples

**If** there is a consistent model  $(\varphi^*(\bar{x}; \bar{y}, \bar{\kappa}), \bar{v}^*, \bar{\lambda}^*),$   
 $\varphi^*$  FOCN(P)-formula

**Return**  $\varphi(\bar{x}; \bar{y}, \bar{\kappa})$  FOCN(P)-formula,  $|x| = k, |y| = \ell$   
 $\bar{v} \in (U(\mathcal{B}))^\ell, \bar{\lambda} \in \{1, \dots, |U(\mathcal{B})|\}^{|\bar{\kappa}|}$  parameters

**such that**  $\llbracket \varphi(\bar{x}; \bar{v}, \bar{\lambda}) \rrbracket^{\mathcal{B}}$  is consistent with  $\mathcal{T}$ , i.e.  $\llbracket \varphi(\bar{u}_i; \bar{v}, \bar{\lambda}) \rrbracket^{\mathcal{B}} = c_i$

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# Learnability Results

## Theorem

Let  $k, \ell \in \mathbb{N}$ . There is an FOCN(P)-learning algorithm for the  $k$ -ary learning problem over some finite background structure  $\mathcal{B}$  such that:

1. **If there is a consistent hypothesis** consisting of an FOCN(P)-formula with certain complexity bounds, a tuple of integers  $\bar{\lambda}$  and a tuple in  $(U(\mathcal{B}))^\ell$ , **then the algorithm returns a hypothesis.**
2. **If the algorithm returns a hypothesis**, then the hypothesis consists of an **FO-formula**  $\varphi(\bar{x}; \bar{y})$  with a certain locality bound and a tuple  $\bar{v} \in (U(\mathcal{B}))^\ell$  and  $\llbracket \varphi(\bar{x}; \bar{v}) \rrbracket^{\mathcal{B}}$  **is consistent with the training sequence.**
3. It runs in time  $(\log n + t)^{\mathcal{O}(1)} d^{\mathcal{O}((\log d)^c)}$  with **only local access to  $\mathcal{B}$ .**
4. The hypothesis can be evaluated in time  $(\log n + t)^{\mathcal{O}(1)} d^{\mathcal{O}((\log d)^c)}$  with only local access to  $\mathcal{B}$ .

**Proof idea:** Use brute-force, Hanf normal forms and Hanf locality.

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→ Try all FOCN(P)-formulas with certain complexity bounds, all structure parameters and number parameters.

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2. If the algorithm returns a hypothesis, then the hypothesis consists of an **FO-formula**  $\varphi(\bar{x}; \bar{y})$  with a certain locality bound and a tuple  $\bar{v} \in U(\mathcal{B})^\ell$  and  $\llbracket \varphi(\bar{x}; \bar{v}) \rrbracket^{\mathcal{B}}$  is consistent with the training sequence.

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## Learnability Results — Proof idea

Check

- all FOCN(P)-formulas with certain complexity bounds
- all structure parameters
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## Learnability Results — Proof idea

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- all FOCN( $P$ )-formulas with certain complexity bounds
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### Theorem (Kuske and Schweikardt 2017)

For every degree bound  $d \in \mathbb{N}$  and every FOCN( $P$ )-formula  $\varphi$  with certain complexity bounds there exists a  $d$ -equivalent formula  $\psi$  in Hanf normal form with a certain locality bound.

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- all FOCN( $P$ )-formulas **in Hanf normal form**
  - all structure parameters **with certain locality bounds**
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## Learnability Results — Proof idea

Check all FOCN(P)-formulas **in Hanf normal form**  
all structure parameters **with certain locality bounds**  
all number parameters

### Fact (Hanf normal form)

A formula is in Hanf normal form if it is a **Boolean combination** of **sphere-formulas** and **numerical conditions**.

### Fact

Every **sphere-formula** is an FO-formula.

### Fact

For a fixed background structure and a fixed number parameter, the **numerical conditions** become constant.

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Check all **Boolean combinations of sphere-formulas**  
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## Fact

A sphere-formula is an FO-formula that exactly characterizes the  $r$ -neighborhood of a tuple up to isomorphism.

$$\mathcal{B} \models \text{sph}_{\mathcal{N}_r(\bar{u})}(\bar{v}) \iff \mathcal{N}_r(\bar{u}) \cong \mathcal{N}_r(\bar{v})$$

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There is a parameter  $\bar{v}^*$  such that  $\mathcal{N}_r(\bar{u}_i \bar{v}^*) \not\cong \mathcal{N}_r(\bar{u}_j \bar{v}^*)$  for all positive examples  $\bar{u}_i$  and negative examples  $\bar{u}_j$ .

# Learnability Results — Proof idea

Check  $\varphi^*(\bar{x}; \bar{y}) = \bigvee_{i \in [t], c_i = \text{True}} \text{sph}_{\mathcal{N}_r(\bar{u}_i \bar{v}^*)}(\bar{x} \bar{y})$

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Use locality to reduce number of possible parameters.

## Learnability Results — Algorithm

### Input:

Training sequence  $T = ((\bar{u}_1, c_1)), \dots, (\bar{u}_t, c_t) \in \mathcal{T}$ ,  $d = \Delta\mathcal{B}$ ,

local access to background structure  $\mathcal{B}$

- 1: **for all**  $\bar{v}^* \in (N_{r'}(T))^\ell$  **do**
- 2:      $\varphi^*(\bar{x}; \bar{y}) \leftarrow \bigvee_{i \in [t], c_i = \text{True}} \text{sph}_{\mathcal{N}_r(\bar{u}_i \bar{v}^*)}(\bar{x} \bar{y})$
- 3:      $\text{consistent} \leftarrow$  **true**
- 4:     **for**  $i \in [t]$  with  $c_i =$  **false** **do**
- 5:         **for**  $j \in [t]$  with  $c_j =$  **true** **do**
- 6:             **if**  $\mathcal{N}_r(\bar{u}_i \bar{v}^*) \cong \mathcal{N}_r(\bar{u}_j \bar{v}^*)$  **then**
- 7:                  $\text{consistent} \leftarrow$  **false**
- 8:     **if**  $\text{consistent}$  **then**
- 9:         **return**  $(\varphi^*(\bar{x}; \bar{y}), \bar{v}^*)$
- 10: **reject**

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- 3:      $\text{consistent} \leftarrow \text{true}$
- 4:     **for**  $i \in [t]$  with  $c_i = \text{false}$  **do**
- 5:         **for**  $j \in [t]$  with  $c_j = \text{true}$  **do**
- 6:             **if**  $\mathcal{N}_r(\bar{u}_i \bar{v}^*) \cong \mathcal{N}_r(\bar{u}_j \bar{v}^*)$  **then**
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- 8:     **if**  $\text{consistent}$  **then**
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## Corollary

**There is a consistent model-learning algorithm  
for FOCN(P)-formulas  
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## **Non-Learnability Results**

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# Non-Learnability Results

## Theorem

There is **no consistent sublinear formula-learning algorithm** for FO-formulas with only local access on background structures of **unbounded degree**.

**Proof idea:** Sublinear-time algorithms cannot see the whole structure.

→ Hide important parts of the structure from the algorithm.

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If the exponential-time hypothesis (ETH) holds:

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There is **no consistent parameter-learning algorithm** for first-order formulas  $\varphi$  of quantifier rank at most  $q$  on background structures  $\mathcal{B}$  with no degree restriction **running in time  $|\mathcal{B}|^{o(q)}$** , i.e. that, given  $\varphi$  and a sequence of training examples  $T$ , returns a tuple  $\bar{v}$  such that  $\llbracket \varphi(\bar{x}; \bar{v}) \rrbracket^{\mathcal{B}}$  is consistent with all training examples.

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## **Conclusion**

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FOCN(P) extends FO and allows counting.

## Results

There is ...

- a consistent sublinear model-learning algorithm for FOCN(P)-formulas on structures of polylog. degree.
- no consistent sublinear model-learning algorithm for FO-formulas with only local access on structures of unbounded degree.
- no consistent parameter-learning algorithm for FO-formulas running in time  $|B|^{o(q)}$  on structures of unbounded degree.

## Open Questions

- Other aggregators from SQL? (Sum, Average, Min, Max)
- Better lower bounds for unbounded degree?

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